



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/039,187	12/31/2001	Feng Yu	014208.1488 (05-01-018)	7183

7590

04/04/2006

Baker Botts L.L.P.
Suite 600
2001 Ross Avenue
Dallas, TX 75201-2980

EXAMINER

PRENDERGAST, ROBERTA D

ART UNIT

PAPER NUMBER

2628

DATE MAILED: 04/04/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/039,187

Applicant(s)

YU ET AL.

Examiner

Roberta Prendergast

Art Unit

2628

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 December 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 12 December 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Art Unit Designation has changed from 2671 to 2628

Drawings

Examiner acknowledges the amendment to Figure 4B of the drawings dated 12/12/2005 correcting the errors that resulted in the objection to the drawings and therefore the objection to the drawings is hereby withdrawn.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Harada et al. U.S. Patent No. 5345546.

Referring to claim 1, Harada et al. teaches a method for interfacing with a surface within a computer-aided drawing environment, comprising: determining that a plurality of curves operable to define the surface constitute a $P \times 1$ surface condition, a $P \times 1$ surface condition being defined by a number of first curves equal to P and only one second curve, wherein P is an integer greater than zero (Abstract; Fig. 3A; column 2, lines 14-20, 31-35, and 47-51, i.e. it is understood that the boundary curve is the second curve separating two surfaces and the first curves P are the curves that are connected

Art Unit: 2628

at the two ends of the boundary curve); in response to determining that a plurality of curves constitute a $P \times 1$ surface condition, converting the $P \times 1$ surface condition into an $N \times M$ surface condition, an $N \times M$ surface condition being defined by a number of third curves equal to N and a number of fourth curves equal to M , wherein N and M are integers greater than one; constructing an $N \times M$ surface under the $N \times M$ surface condition (Fig. 3D); and modifying the $N \times M$ surface to edit a drawing (Figs. 3A-3F; column 5, lines 27-54, i.e. two Bezier curves $C(j,a)$ and $C(j,b)$ are generated along the spine curve and are the new M second curves and arcs $A(2j)$ and $A(2j-2)$ are the new N first curves thereby converting the $P \times 1$ surface into an $N \times M$ surface under the $N \times M$ surface condition that $n=4$ and n Gregory Patches are then generated thereby modifying the $N \times M$ surface to edit a drawing).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 2-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Harada et al. U.S. Patent No. 5345546 in view of Konno et al. U.S. Patent No. 5619625.

Referring to claim 2, the rationale for claim 1 is incorporated herein, Harada et al. teaches the method of Claim 1, wherein converting the $P \times 1$ surface condition into an $N \times M$ surface condition comprises generating Gregory Patches comprising at least one auxiliary curve (see Figs. 3(D-F), 13(A-D), elements C^j (subscript a and b), A^{2j-2} and A^{2j}) having tangential continuity, as G^1 continuity, but does not specifically teach generating at least one auxiliary curve that is substantially continuous with any adjoining surfaces of a surface having the $P \times 1$ surface condition and compatible with the number of first curves and the only one second curve that define the $P \times 1$ surface condition.

Konno et al. teaches generating at least one auxiliary curve that is substantially continuous with any adjoining surfaces of a surface having the $P \times 1$ surface condition and compatible with the number of first curves and the only one second curve that define the $P \times 1$ surface condition (Figs. 20-21; column 5, lines 20-29 and 35-48, i.e. the G^1 continuity of the boundary curve is checked at the endpoints and saved in memory and then used as the condition of continuity when generating auxiliary curves thereby ensuring that the auxiliary curve is compatible with the number of first curves and the one second curve).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the method of Harada et al. to include the teachings of Konno et al. thereby providing a free-form surface generation method that has the following advantageous features; (1) joining smoothly two adjacent free-form surfaces sharing a boundary curve of any type (e.g., composite curve) by creating interior control points determined by the condition of connection on the boundary,

which is derived from the condition of continuity on the boundary, which is determined by the boundary curve and other curves connected thereto; (2) generating free-form surfaces smoothly connected to each other by creating the control points for all the boundary curves and combining those control points; (3) generating a free-form surface in (2) which is smoothly joined to adjacent Gregory patches; (4) generating a free-form surface in (2) which is smoothly joined to adjacent rational boundary Gregory patches; (5) representing complex curve mesh by as few curves as possible in (2); (6) interpolating only one, if possible, surface into curve mesh in (2); and (7) keeping C^n continuity on a surface within the boundary curves (Konno et al. column 3, lines 8-27).

Referring to claim 3, the rationale for claim 1 is incorporated herein, Harada et al. teaches the method of Claim 1 but does not specifically teach wherein converting the $P \times 1$ surface condition into an $N \times M$ surface condition comprises generating an $N \times M$ surface condition to replace the $P \times 1$ surface condition.

Konno et al. teaches wherein converting the $P \times 1$ surface condition into an $N \times M$ surface condition comprises generating an $N \times M$ surface condition to replace the $P \times 1$ surface condition (Figs. 11-13; column 11, lines 23-39, i.e. a plurality of Gregory patches are generated thereby creating an $N \times M$ surface condition to replace the $P \times 1$ surface condition).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the method of Harada et al. to include the teachings of Konno et al. thereby providing a free-form surface generation method that has the following advantageous features; (1) joining smoothly two adjacent free-form

surfaces sharing a boundary curve of any type (e.g., composite curve) by creating interior control points determined by the condition of connection on the boundary, which is derived from the condition of continuity on the boundary, which is determined by the boundary curve and other curves connected thereto; (2) generating free-form surfaces smoothly connected to each other by creating the control points for all the boundary curves and combining those control points; (3) generating a free-form surface in (2) which is smoothly joined to adjacent Gregory patches; (4) generating a free-form surface in (2) which is smoothly joined to adjacent rational boundary Gregory patches; (5) representing complex curve mesh by as few curves as possible in (2); (6) interpolating only one, if possible, surface into curve mesh in (2); and (7) keeping C^n continuity on a surface within the boundary curves (Konno et al. column 3, lines 8-27).

Referring to claim 4, the rationale for claim 1 is incorporated herein, Harada et al. teaches the method of claim 1 but does not specifically teach wherein converting the $P \times 1$ surface condition into an $N \times M$ surface condition comprises generating an $N \times M$ surface condition defined by the third and fourth curves such third and fourth curves are defined by mathematical equations all having an order no greater than mathematical equations defining the first and second curves.

Konno et al. teaches wherein converting the $P \times 1$ surface condition into an $N \times M$ surface condition comprises generating an $N \times M$ surface condition defined by the third and fourth curves such third and fourth curves are defined by mathematical equations all having an order no greater than mathematical equations defining the first and second curves (column 5, lines 20-48; column 11, lines 56-65, i.e. the third and fourth curves

are generated according to the control points and weights of the boundary curve whether the curve is rational or polynomial and thus is defined by mathematical equations having an order no greater than the first and second curves equations).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the method of Harada et al. to include the teachings of Konno et al. thereby providing a free-form surface generation method that has the following advantageous features; (1) joining smoothly two adjacent free-form surfaces sharing a boundary curve of any type (e.g., composite curve) by creating interior control points determined by the condition of connection on the boundary, which is derived from the condition of continuity on the boundary, which is determined by the boundary curve and other curves connected thereto; (2) generating free-form surfaces smoothly connected to each other by creating the control points for all the boundary curves and combining those control points; (3) generating a free-form surface in (2) which is smoothly joined to adjacent Gregory patches; (4) generating a free-form surface in (2) which is smoothly joined to adjacent rational boundary Gregory patches; (5) representing complex curve mesh by as few curves as possible in (2); (6) interpolating only one, if possible, surface into curve mesh in (2); and (7) keeping C^n continuity on a surface within the boundary curves (Konno et al. column 3, lines 8-27).

Referring to claim 5, the rationale for claim 1 is incorporated herein, Harada et al. teaches the method of claim 1 but does not specifically teach processing the first curves and the second curve so that each one of the first curves and second curve are compatible with each other of first curves and the second curve.

Konno et al. teaches processing the first curves and the second curve so that each one of the first curves and second curve are compatible with each other of first curves and the second curve (Fig. 16; column 11, lines 57-65, i.e. it is understood that generating a curve mesh in which the various Gregory patches that correspond to the various first curves are joined together at the second boundary curves is processing the first curves and second curve so that they are compatible with each other).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the method of Harada et al. to include the teachings of Konno et al. thereby providing a free-form surface generation method that has the following advantageous features; (1) joining smoothly two adjacent free-form surfaces sharing a boundary curve of any type (e.g., composite curve) by creating interior control points determined by the condition of connection on the boundary, which is derived from the condition of continuity on the boundary, which is determined by the boundary curve and other curves connected thereto; (2) generating free-form surfaces smoothly connected to each other by creating the control points for all the boundary curves and combining those control points; (3) generating a free-form surface in (2) which is smoothly joined to adjacent Gregory patches; (4) generating a free-form surface in (2) which is smoothly joined to adjacent rational boundary Gregory patches; (5) representing complex curve mesh by as few curves as possible in (2); (6) interpolating only one, if possible, surface into curve mesh in (2); and (7) keeping C^n continuity on a surface within the boundary curves (Konno et al. column 3, lines 8-27).

Referring to claim 6, the rationale for claim 1 is incorporated herein, Harada et al. teaches the method claim 1, but does not specifically teach modifying additional surfaces having the condition to edit the drawing.

Konno et al. teaches further modifying additional surfaces having the condition to edit the drawing (Fig. 16; column 11, lines 57-65, i.e. it is understood that generating a curve mesh in which the various Gregory patches that correspond to the various first curves are joined together at the second boundary curves is processing the first curves and second curve so that they are compatible with each other for additional surfaces).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the method of Harada et al. to include the teachings of Konno et al. thereby providing a free-form surface generation method that has the following advantageous features; (1) joining smoothly two adjacent free-form surfaces sharing a boundary curve of any type (e.g., composite curve) by creating interior control points determined by the condition of connection on the boundary, which is derived from the condition of continuity on the boundary, which is determined by the boundary curve and other curves connected thereto; (2) generating free-form surfaces smoothly connected to each other by creating the control points for all the boundary curves and combining those control points; (3) generating a free-form surface in (2) which is smoothly joined to adjacent Gregory patches; (4) generating a free-form surface in (2) which is smoothly joined to adjacent rational boundary Gregory patches; (5) representing complex curve mesh by as few curves as possible in (2); (6)

interpolating only one, if possible, surface into curve mesh in (2); and (7) keeping C^n continuity on a surface within the boundary curves (Konno et al. column 3, lines 8-27).

Referring to claim 7, claim 7 is similar in scope to claims 1 and 2 and therefore the rationale for the rejection of claims 1 and 2 is incorporated herein.

Referring to claims 12 and 18, the rationale for claim 2 is incorporated herein, Harada et al. teaches a system for performing the methods of claims 12 and 18 but does not specifically teach a software program stored on a computer readable medium and operable, when executed on a processor to perform the methods of claims 7 and 2. It would have been obvious to one having ordinary skill in the art at the time the invention was made that a computer aided drafting system capable of performing the method described would necessarily comprise a software program stored on a computer readable medium and operable, when executed on a processor to perform the methods of claims 7 and 2 as described above.

Referring to claims 8-11, 13-17, and 19-23, claims 8-11, 13-17, and 19-23 are similar in scope to claims 1-6, 7, 12 and 18 and therefore the rationale for the rejection of claims 1-6, 7, 12 and 18 are incorporated herein.

Response to Arguments

Applicant's arguments filed 12/12/2005 have been fully considered but they are not persuasive.

Applicant argues with respect to claim 1 that "Harada only discloses that the two surfaces are each defined by two parameters that are greater than zero. There is no

Art Unit: 2628

disclosure in Harada, however, that either of the parameters, u or v , for either surface S_a or S_b is equal to one of making a determination as to this fact. As a result, there is no disclosure of "determining that a plurality of curves operable to define the surface constitute a $P \times 1$ surface condition, a $P \times 1$ surface condition being defined by a number of first curves equal to P and only one second curve, wherein P is an integer greater than zero" as recited in Applicant's Claim 1...". Examiner respectfully submits that the use of the boundary curve, that has been previously defined, implies the determination of only one second curve being identified as a boundary curve and the determination of a number of first curves equal to P that are not boundary curves.

Applicant then argues that "...Since Harada does not disclose, teach, or suggest a determination as to the existence of the condition, Harada necessarily cannot be said to disclose, teach, or suggest performing the "converting" step in response to making the determination that a $P \times 1$ surface condition exists...". Examiner respectfully submits that converting a $P \times 1$ condition to a $N \times M$ condition depends on the existence of the $P \times 1$ condition and not necessarily on the determining step. The use of the boundary curve, that has been previously defined, implies the determination of the curve being identified as a boundary curve.

Applicant next argues that "...Harada cannot be said to disclose that either of the surfaces S_a and S_b are converted into the fillet surface. As a result, Harada does not disclose, teach, or suggest "converting the $P \times 1$ surface condition into an $N \times M$ surface condition," as recited in Claim 1...". Examiner respectfully submits that portions of both surfaces, S_a and S_b , are defined as the surface condition $P \times 1$ wherein $P = 4$ and 1

Art Unit: 2628

represents the boundary curve, is converted into the $N \times M$ surface condition, where $N = 5$ and $M = 2$, see figures 1(A-D), 3(A-F), 10, 12, and 13(A-D).

In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

The combination of primary reference Harada et al. with Konno et al. teaches the limitations of claims 2-23. Further, Harada et al. teaches that the $N \times M$ surface, i.e. Gregory Patch $G(j)$, smoothly connects original surfaces S_a and S_b to each other via curves $C(j,a)$ and $C(j,b)$ and that Gregory Patches $G(j)$ and $G(j+1)$ are connected to each other with tangential continuity G^1 and that $G(j)$ is connected to the basic patch $B(j)$ with G^1 continuity but that surfaces S_a and S_b are not connected with G^1 continuity (columns 13-14, lines 53-4). Konno et al. teaches wherein all surfaces are connected with G^1 continuity and further teaches wherein auxiliary curves are created wherein C^n continuity is maintained within the surface (Figs. 11-13 and 19-22 show auxiliary curves being created; column 3, lines 24-26; column 5, lines 26-29; column 9, lines 3-5; column 11, lines 8-10) thus the auxiliary curve is substantially continuous with any adjoining surfaces of a surface having the $P \times 1$ surface condition.

Applicant argues, with respect to claim 2, that "...there is no indication in Konno that the disclosed "condition of continuity" is analogous to Applicant's recited "auxiliary curve that is . . . compatible with the number of first curves and the only one second

Art Unit: 2628

curve that define the $P \times 1$ surface condition." In fact, there is no disclosure in Konno at all of the meaning of G1 continuity as applied to the end points of the boundary curve...". Examiner respectfully submits that Konno teaches wherein, for the NURBS surface, the continuity between surface patches depends on the knot vectors and control points (column 2, lines 9-15) and Harada et al. teaches that Gregory Patches $G(j)$ and $G(j+1)$ are connected to each other with tangential continuity G^1 and that $G(j)$ is connected to the basic patch $B(j)$ with G^1 continuity thus continuity between patches indicates compatibility.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "a patient drape configured to..." and "a fluid collection pouch coupled..." on page 12 of the remarks is not found in either the specification or claim 18) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

In response to applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, the motivation for

combining Harada et al. with the teachings of Konno et al. is to provide a free-form surface generation method that has the following advantageous features; (1) joining smoothly two adjacent free-form surfaces sharing a boundary curve of any type (e.g., composite curve) by creating interior control points determined by the condition of connection on the boundary, which is derived from the condition of continuity on the boundary, which is determined by the boundary curve and other curves connected thereto; (2) generating free-form surfaces smoothly connected to each other by creating the control points for all the boundary curves and combining those control points; (3) generating a free-form surface in (2) which is smoothly joined to adjacent Gregory patches; (4) generating a free-form surface in (2) which is smoothly joined to adjacent rational boundary Gregory patches; (5) representing complex curve mesh by as few curves as possible in (2); (6) interpolating only one, if possible, surface into curve mesh in (2); and (7) keeping C^n continuity on a surface within the boundary curves (Konno et al. column 3, lines 8-27), see rationale for the rejection of claims 2-23 above.

In response to applicant's argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971).

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Art Unit: 2628

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Roberta Prendergast whose telephone number is (571) 272-7647. The examiner can normally be reached on M-F 7:00-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ulka Chauhan can be reached on (571) 272-7782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

RP 3/23/2006


ULKA CHAUHAN
SUPERVISORY PATENT EXAMINER